



PTO/S&O 016 (07-05)
Approved for use through 7/31/2008. OMB 0951-0031
US Patent & Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	09/944,624
				Filing Date	August 31, 2001
				First Named Inventor	Knight, Timothy
				Art Unit	2174
				Examiner Name	Lu, Sy
Sheet	1	of	1	Attorney Docket No: 0006-003003	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
SL		US-5297032	03/22/1994	Trojan, D. R., et al.	02/01/1991

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T*

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T*
SL		MARKESE, J., "Can You Trust Mutual Fund Rakings", <u>Consumer's Research Magazine</u> , 76 (10), Research Library, (Oct., 1993), Page 20.	

EXAMINER

/Sy Luu/

DATE CONSIDERED

05/30/2006

Substitute Disclosure Statement Form (PTO-1448)
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) : Applicant is to place a check mark here if English language Translation is attached